



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shunpei Yamazaki, et al.      Art Unit : 2818  
Serial No. : 10/033,100      Examiner : Renee Berry  
Filed : October 25, 2001  
Title : FILM FORMATION APPARATUS AND FILM FORMATION METHOD

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

REQUEST FOR INITIALED PTO FORM 1449

Upon reviewing the file, applicants noted that they have not received an initialed copy of the enclosed PTO Form 1449 that accompanied an Information Disclosure Statement filed May 1, 2003.

Applicants' records show that this Information Disclosure Statement complied with 37 CFR 1.97. Thus, we respectfully request that the examiner initial and return this form as soon as possible. Note, applicants must pay the issue fee by May 3, 2004, so the examiner's prompt attention to this matter would be appreciated.

Respectfully submitted,

Date: 4/29/04

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Substitute Form PTO-1449  
(Modified)

U.S. Department of Commerce  
Patent and Trademark Office

Attorney's Docket No.  
07977-287001

Application No.  
10/033,100

**Information Disclosure Statement  
by Applicant**

(Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant  
Shunpei Yamazaki, et al.

Filing Date  
October 25, 2001

Group Art Unit  
2818

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL	2000-223269	Aug 11, 2000	JP			Abstract only	
	AM	10-204622	Aug 4, 1998	JP			Abstract only	
	AN							
	AO							
	AP							

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)